## Sigma-Based Acceptance for "Type 2" Transfer Functions

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I will call the transfer functions normalized over the whole range of observable momenta (as in MTM2.5) "type 1" transfer functions and transfer functions normalized to 1 after all cuts (as in MEAT and MTM3) "type 2" transfer functions.

The procedure we used to calculate the acceptance dependence on JES in units of  $p_T$ -dependent sigma is as follows:

- 1. Smear the partons according to the type 1 transfer functions. This gives us something similar to level 5 jets.
- 2. For each jet, calculate  $\sigma_{JES}(p_{T,jet})$ . This is done, basically, by reversing the jet corrections, so that we can use the standard CDF systematics developed for level 0 jets.
- 3. For a given number of standard deviations,  $\Delta_{JES}$ , calculate the shifted jet energy scale: JES =  $1 + \Delta_{JES} \sigma_{JES}(p_{T,jet})$ .
- 4. Scale the jets obtained in the first step by 1/JES.
- 5. See whether every scaled jet in the event passes the  $c_0 = 20$  GeV  $p_T$  cut. Calculate acceptance as a function of  $\Delta_{JES}$  using events which pass this cut.

Note that steps 4 and 5 should be equivalent to applying a new cut  $c_1 = c_0$  JES to the original event sample with "unscaled" jets. Also, this description is just a rough outline which does not talk about lepton cuts, eta cuts, minimum  $\Delta R$  between jets, etc.

For type 2 transfer functions this procedure is not possible: the smeared jets will automatically end up passing the  $p_T$  cut (even though the TFs are implemented with a significant flexibility in choosing the JES and, therefore, the cut). Instead, the jet  $p_T$  dependent part of the acceptance should be calculated by finding the average value of the product of the four jet efficiencies. The averaging should be done over  $t\bar{t}$  parton-level events generated, for example, by Pythia.

At this time, our jet efficiencies are functions of parton  $p_T$ , mass, and JES:  $\epsilon = \epsilon_{now}(\mathbf{x}, \text{JES})$ , where  $\mathbf{x}$  is the vector of parton-level quantities ( $p_T$ , mass). Instead, for acceptance calculation purposes, we really want them to be functions of  $\mathbf{x}$  and  $\Delta_{JES}$ :  $\epsilon = \epsilon(\mathbf{x}, \Delta_{JES})$ . The reason why we can't just substitute JES =  $1 + \Delta_{JES} \sigma_{JES}(p_{T,jet})$  is that JES here is a function of  $p_{T,jet}$  which is unknown, not  $p_T$  of the parton.

If one thinks how to approach the calculation of  $\epsilon(\mathbf{x}, \Delta_{JES})$  in case we had type 1 transfer functions available, the procedure would be similar: smear the parton to get the jet, calculate  $\sigma_{JES}(p_{T,jet})$ , check whether the jet passes the scaled  $p_t$  cut  $c_1$ . I believe that this procedure is equivalent to calculating the following integral:

$$\int W(\mathbf{y}|\mathbf{x})\theta(p_{T,jet}-c_1)d\mathbf{y}$$

where  $\mathbf{y}$  stands for jet-level quantities (observed), and  $\theta(...)$  is the Heaviside step function. This means

$$\epsilon(\mathbf{x}, \Delta_{JES}) = \int W(\mathbf{y}|\mathbf{x})\theta(p_{T,jet} - c_0(1 + \Delta_{JES}\,\sigma_{JES}(p_{T,jet})))d\mathbf{y}$$

Let's assume for now that integration over angles can be factored out. This is not completely true — typically reconstructed jet angle and  $p_T$  are correlated — but simplifies subsequent reasoning a lot. Let's also assume for simplicity that the jet is far away from the  $|\eta| = 2$  boundary. Then the angular part of the transfer function integrates to 1, and

$$\epsilon(\mathbf{x}, \Delta_{JES}) = \int W_T(p_{T,jet}|\mathbf{x})\theta(p_{T,jet} - c_0(1 + \Delta_{JES}\,\sigma_{JES}(p_{T,jet})))dp_{T,jet}$$

Note that, at least for small  $\Delta_{JES}$  values, the equation

$$p_{T,jet} - c_0(1 + \Delta_{JES} \sigma_{JES}(p_{T,jet})) = 0$$

has only one solution  $p_{T,jet} = p_0(\Delta_{JES})$ . This is because the derivative of the function  $p_{T,jet} - c_0(1 + \Delta_{JES} \sigma_{JES}(p_{T,jet}))$  over  $p_{T,jet}$  is  $1 - c_0\Delta_{JES} \frac{d\sigma_{JES}(p_{T,jet})}{dp_{T,jet}}$  which is guaranteed to be positive for small  $\Delta_{JES}$  magnitudes. Because of this, at least for small  $\Delta_{JES}$  values the efficiency integral can be rewritten as

$$\epsilon(\mathbf{x}, \Delta_{JES}) = \int_{p_0(\Delta_{JES})}^{\infty} W_T(p_{T,jet}|\mathbf{x}) dp_{T,jet}$$

At the same time,

$$\epsilon_{now}(\mathbf{x}, \text{JES}) \equiv \int_{c_0 \text{ JES}}^{\infty} W_T(p_{T,jet}|\mathbf{x}) dp_{T,jet}$$

which simply means that  $\epsilon(\mathbf{x}, \Delta_{JES}) = \epsilon_{now}(\mathbf{x}, p_0(\Delta_{JES})/c_0)$ . Therefore, to calculate  $\epsilon(\mathbf{x}, \Delta_{JES})$  we only need to prepare the interpolation table for  $p_0(\Delta_{JES})$  values by solving the corresponding equation for various  $\Delta_{JES}$ , and after that we should be able to use the existing efficiencies.